

( )

33  
2-55 1  
( 1 )

2009 12 31

: \_\_\_\_\_ ( )  
: \_\_\_\_\_ ( )  
: \_\_\_\_\_ 23-2  
( ) 767-5777  
( ) www.shbnppam.com  
: ( ) ( )  
( )

18 ,19

.

1. 가. 가  
: :  
:

2. 가. , . , . ,  
: : : :  
:

3. 가.  
:

4. 가.  
:  
:

5.

6.

.

1. 가.  
:  
:  
:  
:

2. 가.

.

1.

2.

3.

4.

5.

.

1. 가.

·  
·  
·  
·

2. 가.

·

{ ( )  
{ ( )  
{ ( )

,

3. 가.

·

4. 가.

·

.

1.

2.

.

1.

2. 가.

·

.

1. 가 . 가

·  
·  
·  
·  
·

( )

( )

2.

.

1.

2. 가.

·  
·  
·

.

1. 가.

·  
·

2. 가.

·

3.

.

1.

2.

■

1.

가.

	( )
	23-2 18 ,19
	1996 8 1
	3
	75,373,735,000
가 ( )	5,000
	15,074,747
	www.shbnppam.com
	767-5777
	.
가	

. 가

1.		
2.		
3.	( )	
4.		
5.		
6.	가	
7.	1	6

.


2.

가.

1.				
-	44-5			
-	24-4			
-	23-2	18		
-	23-2	18	,19	
2.				
-2009	1 1	( )	( )	
-	( ,	)		

.

1.2002 10 24 , ( ), ( ), 2.2009 1 1 , ( ), ( ),	

· , , · ,

- :2009 1 1 , : ( ) , : , :2008 11 5 , :2008 11 5 , :2008 11 5 , :2009 1 2	

-2001 8 ,	-2001 9 -2008 9 BNP BNP MOU

3.

가.

( : , )

( )	( )	( )				
				가	가 ( )	
20090102			7,074,747	5,000	35,373,735,000	

( : , )

					가			가	
						(%)	가		

4.

가.

( : , )

	가			
	36,000,000	15,074,747		

( : , )

	165 2						
	165 2						

.

(1)

( : , )

		가						1		
		가					가			

(2)

( : , )

		가							

5.

( : )

(A)		15,074,747	
		0	
(B)		0	
		0	
가 (C)		0	
		0	
(D)		0	
		0	
(E=A-B-C+D)		15,074,747	
		0	

6.

( : , ,%)

가		5,000	
(A)		26,146,185,124	
(B)		0	
		23,400,000,000	
(=B/A × 100)		0	

■

1.

가.

(1)

( : )

					가	
	가					
	가					
	가					
ETF						
	ELS					
		ELW				

(2)

( : , )

							가		
			3						
			5						
			10						
			KOSP 200						
			KOSTAR						
		KOS 200							

(3)

( : , )

								가

(1)

( : )

		가			
		가			
		가			
	ELS				
	ELW				

(1)

( : , )

		71	0	0	0	0	0	0	71
		71	0	0	0	0	0	0	71
		24	0	0	0	0	0	0	24
		32	3	0	0	0	0	0	35
		0	0	0	0	0	0	0	0
		19	0	0	0	0	0	0	19
		156	0	0	0	0	0	0	156
		0	0	0	0	0	0	0	0
		373	3	0	0	0	0	0	376
		17	0	0	0	0	0	0	17
		1	0	0	0	0	0	0	1
		0	0	0	0	0	0	0	0
		1	0	0	0	0	0	0	1
		0	0	0	0	0	0	0	0
		1	0	0	0	0	0	0	1
		20	0	0	0	0	0	0	20
		11	1	0	0	0	0	0	12
		0	0	0	0	0	0	0	0
		11	1	0	0	0	0	0	12
		0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0
		19	0	0	0	0	0	0	19
		423	4	0	0	0	0	0	427
		11,707,784,117.30	0	0	0	0	0	0	11,707,784,117.30
		1,763,997,137.629	0	0	0	0	0	0	1,763,997,137.629
		303,024,016.393	0	0	0	0	0	0	303,024,016.393
		134,746,901.061	48,723,388	0	0	0	0	0	134,795,624.449
		0	0	0	0	0	0	0	0
		598,221,395.294	0	0	0	0	0	0	598,221,395.294
		1,352,456,027.006	0	0	0	0	0	0	1,352,456,027.006
		0	0	0	0	0	0	0	0
		15,860,229,594.68	48,723,388	0	0	0	0	0	15,860,278,318.0
		673,117,110.612	0	0	0	0	0	0	673,117,110.612
		272,133,705.585	0	0	0	0	0	0	272,133,705.585
		0	0	0	0	0	0	0	0
		32,206,306.499	0	0	0	0	0	0	32,206,306.499
		0	0	0	0	0	0	0	0
		150,721,615.685	0	0	0	0	0	0	150,721,615.685
		1,128,178,738.381	0	0	0	0	0	0	1,128,178,738.381
		247,077,270.867	247,657,220.000	0	0	0	0	0	494,734,490.867
		0	0	0	0	0	0	0	0
		247,077,270.867	247,657,220.000	0	0	0	0	0	494,734,490.867
		0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0
		7,249,222,453.384	0	0	0	0	0	0	7,249,222,453.384
		24,484,708,057.31	247,705,943.388	0	0	0	0	0	24,732,414,000.7
		10,238,702,197.58	0	0	0	0	0	0	10,238,702,197.58
		1,767,177,728.306	0	0	0	0	0	0	1,767,177,728.306
		305,355,659.736	0	0	0	0	0	0	305,355,659.736
		128,878,802.887	48,917,249	0	0	0	0	0	128,927,720.136
		0	0	0	0	0	0	0	0
		450,903,394.625	0	0	0	0	0	0	450,903,394.625
		1,366,719,177.127	0	0	0	0	0	0	1,366,719,177.127
		0	0	0	0	0	0	0	0
		14,257,736,960.26	48,917,249	0	0	0	0	0	14,257,785,877.5
		641,033,866.912	0	0	0	0	0	0	641,033,866.912
		269,189,728.851	0	0	0	0	0	0	269,189,728.851
		0	0	0	0	0	0	0	0
		17,086,519,030	0	0	0	0	0	0	17,086,519,030
		0	0	0	0	0	0	0	0
		152,423,936.486	0	0	0	0	0	0	152,423,936.486
		1,079,734,051.279	0	0	0	0	0	0	1,079,734,051.279
		255,921,259.879	241,037,199.060	0	0	0	0	0	496,958,458.939
		0	0	0	0	0	0	0	0
		255,921,259.879	241,037,199.060	0	0	0	0	0	496,958,458.939
		0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0
		7,287,314,989.187	0	0	0	0	0	0	7,287,314,989.187
		22,880,707,260.61	241,086,116.309	0	0	0	0	0	23,121,793,376.9

(2)

( : ,%)

						(A)	(B)	(A+B)			
		079	105	0	002	19	9	11	0	0	4
		008	005	0	0	0.14	0	0	0	0	0
		047	069	0	001	12	0	1	0	0	0
		012	027	0	0	042	0	0	0	0	0
		0	0	0	0	0	0	0			
		059	047	0	002	113	0	1	0	0	0
		027	007	0	001	038	0	1	0	0	0
		0	0	0	0	0	0	0			
		063	08	0	002	149	7	8	0	0	3
		023	002	0	002	031	0	0	0	0	2
		07	0	0	003	079	0	1	0	0	0
		0	0	0	0	0	0	0			
		09	09	0	003	189	0	2	0	0	0
		0	0	0	0	0	0	0			
		093	003	0	002	099	0	1	0	0	0
		046	003	0	002	055	0	1	0	0	1
		053	014	0	003	072	0	1	0	0	0
		0	0	0	0	0	0	0			
053		014	0	003	072	0	1	0	0	0	
	0	0	0	0	0	0	0				
	0	0	0	0	0	0	0				
	0	0	0	0	0	0	0	0	0	0	
	01	024	0	0	036	0	0	0	0	0	
	045	057	0	001	107	4	5	0	0	2	
	045	058	0	001	108	9	11	0	0	6	
	045	0	0	004	052	0	1	0	0	0	
	0	0	0	0	0	0	0				
	0	0	0	0	0	0	0				
	0	0	0	0	0	0	0				
	0	0	0	0	0	0	0				
	045	057	0	001	107	9	10	0	0	6	

(3)

( : )

	739,829,718,123	197,424,430,180	98,123,536,376	304,164,160	12,886,353,944	0	0	0	3,927,515,569,105	0	4,778,355,177,548	197,728,594,340
	2,191,972,745,885	16,288,366,505	0	0	34,584,226,510	0	0	0	3,391,001,350,930	0	5,617,558,323,325	16,288,366,505
	2,412,067,593,301	7,657,537,631,343	0	1,889,942,633	126,012,631,699	0	0	0	485,524,950	0	2,538,565,749,950	7,659,427,573,976
	42,143,748,116	366,782,467,346	150,884,501,754	14,339,476,461	140,363,071,229	0	0	0	0	0	333,391,321,099	381,121,943,807
	869,793,731,750	0	0	0	5,068,936,993	0	0	0	0	0	874,862,668,743	0
	0	0	0	0	0	0	0	0	0	0	0	0
	4,657,442,000	0	0	0	0	0	0	0	0	0	4,657,442,000	0
	385,212,848	12,052,106,901	0	254,744,935	0	0	0	0	0	0	385,212,848	12,306,851,836
	0	0	1,568,144,001,145	0	178,657,561,494	0	0	0	0	0	1,746,801,562,639	0
	0	-21,385,123,434	0	6,939,181,559	0	606,303,094	0	0	0	0	0	-13,839,638,781

(4)

(단) ( ) ( : ,%)

	E	1,229,450,236	0	N
	HI	602,908,690,839	2.44	N
	HMC	89,539,698,526	0.36	N
	IBK	70,104,602,322	0.28	N
	LG	26,174,028,715	0.11	N
	NH	115,208,996,089	0.47	N
	PCA	25,174,667,728	0.1	N
	SK ( )	204,969,251,437	0.83	N
		2,018,448,904	0.01	N
		142,430,674,112	0.58	N
		11,130,221	0	N
		32,802,965	0	N
		37,307,575,371	0.15	N
		235,085,102,822	0.95	N
		258,274,888	0	N
		151,844,733,033	0.61	N
		70,985,602,889	0.29	N
		13,320,751	0	N
		17,322,128,584	0.07	N
		52,059,953	0	N
		110,344,343	0	N
		30,400,832,386	0.12	N
		29,850,449,250	0.12	N
		29,892,159,390	0.12	N
		603,258,634,548	2.44	N
		231,920,316,017	0.94	N
		22,568,124,529	0.09	N
		2,152,418,764,442	8.7	Y
		15,498,732	0	N
	(LG )	62,572,812,692	0.25	N
		5,200,844,164	0.02	N

(4)

과) ( ) ( ) ( : ,%)

		378,803,147	0	N
		71,469,058	0	N
	( )	7,441,805,128	0.03	N
		874,538,949,198	3.54	N
		417,095,984,988	1.69	N
		863,805,149,430	3.49	N
		734,158	0	N
		30,272,238,493	0.12	N
		244,930,263,090	0.99	N
		236,715,760,927	0.96	N
		35,048,332	0	N
		65,269,432	0	N
	SC	70,067,950,224	0.28	N
		42,220,768,103	0.17	N
		16,745,207,425	0.07	N
		1,696,211,326	0.01	N
		13,875,503,294	0.06	N
		143,862,582	0	N
	( )	522,620,484	0	N
	( )	109,926,358,939	0.44	N
		20,807,008,199	0.08	N
		153,348,109,518	0.62	N
	) (	43,699,800,941	0.18	N
		7,176,080,347	0.03	N
		16,156,842,825,130	65.33	Y
		21,602,200,957	0.09	N
		24,080,731,778	0.1	N
		8,244,347,134	0.03	N
		50,010,797,864	0.2	Y
		921,230,046	0	N
	BNP	354,250,890,157	1.43	N

(4)

과) ( ) ( ) ( : ,%)

	.			
		24,732,414,000,707	99.99	

( )

( : , )

HSBC( )	39	10,479,954,426,828	8,189,377,327,270	42	9,788,527,167,116	8,326,356,703,208	N
SC	43	105,742,062,959	91,957,624,458	39	97,876,480,261	86,287,368,034	N
	41	7,820,131,636,168	7,940,164,600,395	66	7,422,868,146,972	7,428,984,855,122	N
	39	1,029,531,364,193	1,005,714,397,710	20	487,023,307,617	466,247,858,854	N
( )	25	2,214,429,663,081	2,214,584,838,562	25	1,839,517,349,117	1,827,204,510,259	N
	1	10,311,120,430	10,934,502,467	23	918,277,135,328	928,571,078,946	N
	24	892,506,813,125	904,789,544,213	37	135,631,708,938	102,197,184,378	Y
	37	137,526,074,183	91,373,567,720	14	212,721,804,556	146,519,005,310	N
	14	226,322,013,828	151,717,806,150	13	653,509,716,834	665,761,363,023	N
	13	668,198,596,404	674,955,863,126	37	1,282,105,122,693	1,314,386,099,724	N
( )	52	1,359,168,818,253	1,386,996,521,162	94	1,294,062,901,843	1,236,909,647,163	N
	94	1,746,541,662,955	1,651,347,883,092	3	107,643,024,139	109,263,225,295	N
) (	3	97,689,308,914	97,266,048,282	14	492,650,135,293	483,104,477,607	N
	12	255,287,706,676	241,618,590,315	427	24,732,414,000,707	23,121,793,376,923	

( )

( : , )

( )							
SC	3	127,751,006,589	127,040,972,820	2	20,000,000,000	20,873,358,311	N
	413	25,478,683,192,458	23,073,149,700,037	1	27,751,006,589	27,999,771,623	N
	17	795,279,387,320	803,787,256,653	404	23,256,755,925,168	21,617,311,214,633	Y
	2	621,316,561,200	626,741,416,197	17	796,279,387,320	811,971,839,647	N
) (	1	10,000,000,000	11,145,266,748	2	621,316,561,200	633,323,432,190	N
	1	10,311,120,430	10,934,502,467	1	10,311,120,430	10,313,760,519	N
	437	27,043,341,267,997	24,652,799,114,922	427	24,732,414,000,707	23,121,793,376,923	

. ( )

(1)

(2)

( : , )

(3)

( : )

		50,000,000	50,000,000	0
		0	0	0
		50,000,000	50,000,000	0
		0	0	0
		0	0	0
		0	0	0
		50,000,000	50,000,000	0
		0	0	0
		50,000,000	50,000,000	0

(4)

( : )

(5)

( : , ,%)

( )

(1)

		19720625		7675745	,	19990621	KT B	20090204				12.0	939,002,515,972
		19690823		7675851	,			20090204				8	154,279,528,759
		19701004		7675716	,	19990621	, ,	20090204				6.0	402,533,234,896
		19770817		7675748	,	20080413	LG	20090204				1.0	1,709,323,031
		19731222		7675852	,		KB	20090204				1.0	13,148,733,338
		19710708		7675752	,		M ,M	20090204				1.0	178,593,692,054
		19740320		7675862	,			20090204				1.0	59,800,000,000
		19721020		7675744	,	20021208	, ,	20090204				5.0	373,887,275,907
		19690126		7675854	,		LG	20090204				14.0	1,754,476,469,046
		19670921		7675899	,		, UBS	20091203				1	598,953,607,332
		19730812		7675753	,	20061219	CS ,LG	20090204				2	10,575,062,574
		19670609		7675870	,		/ ,	20090204				26	5,216,286,000
		19721023		7675737	,	20050626	K S 가 ,	20090204				19.0	281,503,596,047
		19700421		7675864	,		,	20090204				2.0	194,071,427
		19730125		7675855	,			20090204				6.0	39,227,103,775
		19700413		7675874	,		,LG	20090204				9.0	138,317,608,788
		19741012		7675849	,		( ) ( ) LG ,	20090204				4.0	48,198,138,308
		19800717		7675866	,			20090204				1.0	6,290,466,104
		19690212		7679021	,			20090914				1.0	2,304,050,319,482

. ( )

(1) ( )

		19690212		7679021				20090914				10	2 304 ,050 319,48 2
		19700309		7675717	,	19990621	,	20090204				70	92,036 539,516
		19820526		7675865	,		JP Morgan	20090204				10	7 930 ,151 324
		19581212		7675750	,	20031214		20090204				90	267 ,661 ,937 ,308

(2)

( : , )

	15	17	2
	140	137	- 3
( )	5,751,715,902,809	7,677,585,660,987	1,925,869,758,178
( )	6,718,688,417,519	8,748,038,667,194	2,029,350,249,675

(3)

( : )

		0	0	0
		4,937,656,947	7,699,006,823	2,761,349,876
		4,937,656,947	7,699,006,823	2,761,349,876
		0	0	0
		2,428,960,318	2,428,960,318	0
		2,428,960,318	2,428,960,318	0
		0	0	0
		7,366,617,265	10,127,967,141	2,761,349,876
		7,366,617,265	10,127,967,141	2,761,349,876

(4)

( : )

				.					
		0	0	0	0	0	0	0	0
		0	2 321,834,451,141	3 370,970,943,277	1 974,780,266,569	0	0	10,000,000,000	7 677,585,660,987
		0	2 321,834,451,141	3 370,970,943,277	1 974,780,266,569	0	0	10,000,000,000	7 677,585,660,987
		0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0
		0	2 321,834,451,141	3 370,970,943,277	1 974,780,266,569	0	0	10,000,000,000	7 677,585,660,987
		0	2 321,834,451,141	3 370,970,943,277	1 974,780,266,569	0	0	10,000,000,000	7 677,585,660,987

(5)

( : ,%)

1	0	15	15	10.9	0	545,410,695	545,410,695	0
1 3	0	5	5	3.6	0	1,213,691,384	1,213,691,384	0
3 5	0	10	10	7.3	0	3,882,337,474	3,882,337,474	0.1
5 10	0	3	3	2.2	0	2,212,320,164	2,212,320,164	0
10 50	0	25	25	18.2	0	67,058,007,064	67,058,007,064	0.9
50 100	0	13	13	9.5	0	92,361,095,581	92,361,095,581	1.2
100	0	66	66	48.2	0	7,510,312,798,624	7,510,312,798,624	97.8
	0	137	137		0	7,677,585,660,986	7,677,585,660,986	

(6)

( : )

	527,734,329,098	2,180,828,422	529,915,157,520
	5,799,060,464,528	0	5,799,060,464,528
	2,135,087,562,465	0	2,135,087,562,465
	184,301,978,033	126,738,778,863	311,040,756,896
	4,289,455,997	0	4,289,455,997
	0	0	0
	660,780,000	0	660,780,000
	0	0	0
	0	210,152,606	210,152,606
	8,651,134,570,121	129,129,759,891	8,780,264,330,012

(7)

( )

HSBC	9	292,104,628,028	
	24	607,585,521,388	
	27	519,679,149,633	
	1	915,659,209,228	
	35	1,014,154,121,668	
	6	706,424,582,758	
	9	216,032,987,125	
	14	1,331,556,679,166	
	2	2,541,914,732,424	
	10	602,927,055,776	
	137	8,748,038,667,194	

.

(1)

የተ)

( )

( : )

( )

( )

( : )



(3)

가)

( : )

		가					
		가					
		가					
		MMT					
		RA					



2.

가.

( : ,% ,%p)

		( )	( )	( - )	
1.	(=A-B+C)	132,950,488,924	135,851,045,755	2,900,556,831	2.1
B/S	(A)	136,664,278,409	140,862,913,735	4,198,635,326	3
	(B)	3,818,703,144	5,116,453,104	1,297,749,960	25.4
	가 .	2,434,269,831	2,643,142,713	208,872,882	7.9
	. , ,	853,771,078	732,508,483	-121,262,595	-16.6
	. 1	0	0	0	0
	. 3	0	1,190,670,197	1,190,670,197	100
	.	530,662,235	550,131,711	19,469,476	3.5
	.	0	0	0	0
	.	0	0	0	0
	.	0	0	0	0
	. 100 16	0	0	0	0
	.	0	0	0	0
	.	0	0	0	0
	. 가	0	0	0	0
	가 (C)	104,913,659	104,585,124	-328,535	-0.3
가 .	104,913,659	104,585,124	-328,535	-0.3	
.	0	0	0	0	
.	0	0	0	0	
. 가	0	0	0	0	
2.	(=D+E+F)	20,985,514,364	20,114,120,245	-871,394,119	-4.3
B/S	(D)	800,230,268	719,804,362	-80,425,906	-11.2
	가 .	456,184,092	521,019,348	64,835,256	12.4
	.	342,994,137	196,681,334	-146,312,803	-74.4
	.	979,806	2,031,320	1,051,514	51.8
	.	72,233	72,360	127	0.2
	.	0	0	0	0
	.	0	0	0	0
	(E)	1,392,998,341	1,088,263,247	-304,735,094	-28
	(F=max(가 , )+ + )	18,792,285,755	18,306,052,636	-486,233,119	-2.7
	가 . 10%	1,600,000,000	1,600,000,000	0	0
	.	5,194,076,249	5,426,304,912	232,228,663	4.3
	. 가	0	0	0	0
.	13,598,209,506	12,879,747,724	-718,461,782	-5.6	
3.	(=(1/2)× 100)	634	675	41	6.1
4.	(1-2)	111,964,974,560	115,736,925,510	3,771,950,950	3.3

■

1.

		167,972,664,704
.		136,847,150,159
1.		136,847,150,159
1)		137,164
2)		77,000,000,000
3)		678,575,593
4)		0
5)		0
6)		39,539,300,000
7) MMDA		19,629,137,402
8) MMF		0
9)		0
10)		0
2.		0
1)		0
		0
		0
2)	( )	0
		0
		0
		0
3)	( )	0
		0
		0
		0
4)		0
5)		0
6)		0
	( )	0
	( )	0
	( )	0

1. ( )

( )	0
7)	0
( )	0
( )	0
( )	0
( )	0
8)	0
9)	0
10)	0
11)	0
12)	0
13)	0
. 가	2,323,365,449
1.	0
1)	0
2)	0
3) .	0
4)	0
5)	0
6)	0
	0
	0
7)	0
8)	0
	0
	0
	0
9)	0
2. 가	2,323,365,449
1)	2,018,763,950
2)	304,300,000

1. ( )

3) .	0
4)	0
5)	0
6)	301,499
	0
	301,499
7)	0
	0
	0
	0
8)	0
3.	0
1) .	0
2)	0
3)	0
4)	0
4.	0
1)	0
2)	0
5.	0
1) 가	0
2)	0
3)	0
.	0
1.	0
1)	0
2)	0
	0
	0
	0
	0

1. ( )

	0
2.	0
1)	0
2)	0
	0
	0
	0
	0
	0
.	1,190,370,197
1.	0
2.	0
1)	0
2)	0
3)	0
4)	0
5)	0
3.	0
4.	1,196,351,956
1)	0
2)	1,196,351,956
3)	0
5.	0
6. ( )	0
7. ( )	0
8.	0
9.	0
1)	0
2) ( )	0
3)	0
4)	0

1. ( )

5)	0
10.	0
11.	0
12.	0
13.	0
14.	0
15.	0
16. (-)	5,981,759
1)	0
2)	5,981,759
3)	0
4) ( )	0
5) ( )	0
6)	0
7)	0
8)	0
9)	0
10)	0
11)	0
12)	0
13)	0
.	2,643,142,713
1.	0
2.	0
3.	1,031,582,264
4.	0
5.	4,421,932,768
6.	0
7. ( )	0
8. ( )	0
9.	0

1. ( )

10. 가 (-)	2,810,372,319
1)	0
2)	174,312,715
3)	0
4)	2,636,059,604
5)	0
11. 가 ( )(-)	0
12. ( )(-)	0
.CMA ( )	0
1.CMA	0
2.CMA 가	0
3.CMA	0
4.CMA	0
5. ( )(-)	0
. ( )	0
1.	0
2.	0
3. ( )(-)	0
.	24,968,636,186
1.	77,051,724
1)	0
	0
	0
	0
	0
2)	0
	0
	0
	0
	0
3)	0

1. ( )

4)	77,051,724
2.	20,779,973,563
1)	18,979,639,389
	0
	0
	0
	0
	0
	2,491,586,704
	16,488,052,685
	0
	0
	0
2)	1,800,334,174
	0
	1,800,334,174
	0
	0
3)	0
4)	0
5)	0
3.	838,000
1)	0
2)	0
3)	0
4)	838,000
4.	731,674,673
5.	0
1)	0
2)    가가	0
3)	0

1. ( )

6.	0
7.	0
8.	0
1)	0
2)	0
9.	1,075,373,600
1)	0
2) 가	7,474,000
3)	962,115,600
4)	0
5)	105,784,000
10.	0
11.	0
1)	0
2)	0
3)	0
12.	0
1)	0
2)	0
3)	0
4)	0
13.가	0
14.	0
15.	0
16.	2,408,013,940
17. (-)	104,289,314
1)	385,258
2)	103,899,866
	94,898,196
	9,001,670
	0

1. ( )

3)	4,190
4)	0
18. 가 (-)	0
	27,109,750,969
.	0
1.	0
1)	0
2)	0
3)	0
4)	0
5)	0
6)	0
7)	0
2.	0
1)	0
2)	0
3)	0
4)	0
3. ( )	0
4. CMA ( )	0
5.	0
.	0
1.	0
2.	0
1)	0
2)	0
3)	0
4)	0
5)	0
3.	0
4. 가	0

1. ( )

1)	0
2) .	0
3)	0
4)	0
5)	0
5.	0
1) 가	0
2)	0
3)	0
6.	0
1)	0
	0
	0
가 .	0
.	0
.	0
.	0
.	0
2)	0
	0
	0
가 .	0
.	0
.	0
.	0
.	0
7.	0
( )	0
8.	0
( )	0
9.	0

1. ( )

10. ( )	0
11.	0
.	27,109,750,969
1.	0
2.	0
	0
( )(-)	0
( )(-)	0
( )(-)	0
( )(-)	0
3.	0
4.	0
5.	0
6.	4,959,862,117
7.	0
8.	271,165,920
9.	17,356,887,055
10.	0
11.	0
12.	0
13.가	0
14.	0
15.	0
16.	0
1)	0
2)	0
17.	368,345,574
18.	4,153,490,303
19.	0
20.	0
21.	0

1. ( )

	140,862,913,735
.	75,373,735,000
1.	75,373,735,000
2.	0
	0
	0
	0
.	29,177,083,292
1.	29,177,083,292
2.	0
3.	0
4.	0
.	0
1.	0
2.	0
3.	0
4.	0
5.	0
6.	0
.	1,361,097,252
1. 가 가	1,361,097,252
	1,361,103,599
	0
.	0
	0
	0
	-6,347
	0
가.	0
.	0
.	0

1. ( )

	0
2.	0
3.	0
4. 가	0
5. ( )	0
6.	0
.	34,950,998,191
1.	8,330,000,000
2.	0
3.	0
4.	0
5.	0
6.	26,620,998,191
( )	26,146,185,124

2.

.	91,613,393,178
1.	87,865,760,706
1)	0
가	0
	0
	0
	0
	0
	0
	0
	0
	0
2)	0
	0
	0
3)	0
4)	0
	0
	0
5)	10,297,167,141
	50,000,000
	10,127,967,141
	119,200,000
6)	77,568,593,565
	77,568,593,565
	0
	0
7)	0
8)	0
가	0
	0

2. ( )

	0
	0
9)	0
가	0
	0
	0
10)	0
	0
	0
	0
	0
	0
	0
11)	0
12)	0
13)	0
14)	0
2. 가 가	0
1)	0
	0
	0
	0
	0
	0
	0
	0
2) 가	0
가	0
가	0

2. ( )

가		0
가		0
가		0
		0
3) 가		0
		0
		0
		0
가		0
		0
4)		0
		0
		0
5) 가		0
6) 가 가		0
가		0
가		0
		0
7)		0
가		0
		0
		0
8) 가		0
가		0
		0
		0
9)		0
가		0
		0
		0

2. ( )

10) 가	0
가	0
	0
	0
11)	0
가	0
	0
	0
3.	0
1)	0
	0
	0
	0
	0
가	0
	0
	0
2)	0
	0
가	0
	0
4.	3,747,632,472
1)	0
	0
	0
	0
	0
	0
2)	0
3)	0

2. ( )

4)	0
5)	0
6)	0
7)	0
8)	2,540,478
9)	1,950,038,325
10)	0
11)	0
12)	0
13)	0
14)	0
15)	1,791,145,929
16)	0
17)	0
18)	0
19)	3,907,740
5. 가	0
1)	0
2)	0
3)	0
6.	0
1)	0
2)	0
7.	0
1)	0
2)	0
3) 가	0
4) CMA ( )	0
CMA	0
	0

2. ( )

5) CMA ( )		0
6) ( )		0
		0
		0
		0
		0
7)		0
8) 가		0
9)		0
		0
		0
		0
10)		0
11)		0
.		54,798,964,985
1.		23,384,226,282
1)		0
		0
		0
2)		0
3)		1,683,814,801
4)		0
5)		21,482,584,436
6)		2,810,812
7)		215,016,233
2. 가 가		0
1)		0
		0
		0
		0

2. ( )

	0
	0
	0
	0
2) 가	0
가	0
가	0
가	0
가	0
가	0
	0
3) 가	0
	0
	0
	0
가	0
	0
4)	0
	0
	0
5) 가	0
6) 가 가	0
가	0
가	0
	0
7)	0
가	0
	0
	0
8) 가	0

2. ( )

가		0
		0
		0
9)		0
가		0
		0
		0
10)	가	0
가		0
		0
		0
11)		0
가		0
		0
		0
3.		0
1)		0
		0
		0
		0
		0
가		0
		0
		0
2)		0
		0
가		0
		0
4.		0
1)		0

2. ( )

	0
	0
	0
2)	0
3)	0
4)	0
5)	0
6)	0
7)	0
8)	0
9)	0
10)	0
11) CMA ( )	0
12)	0
5. 가	0
1)	0
2)	0
3)	0
6.	0
1)	0
2)	0
7.	31,395,731,808
1)	15,399,977,615
	1,716,681,597
	13,683,296,018
2)	937,597,648
	24,716,597
	912,881,051
3)	0
4)	1,967,172,029

2. ( )

5)	314,805,870
6)	1,350,859,103
7)	1,461,127,174
8)	713,104,232
9)	4,101,704,698
10) 가	520,490,194
11)	689,863,447
12)	272,019,068
13)	0
14)	814,634,051
15)	0
16)	0
17)	0
18)	5,775,250
19)	9,703,798
20)	616,473,487
21)	269,139,860
22)	144,359,647
23)	44,938,679
24)	459,058,929
25)	64,887,662
26)	791,173,624
27)	446,865,743
8.	19,006,895
1) 가	0
2) ( )	0
가	0
	0
	0
	0

2. ( )

	0
3)	0
4) 가	0
5)	0
	0
	0
	0
6)	19,006,895
7)	0
. ( )	36,814,428,193
.	189,273,066
1.	0
2.	0
3.	0
4.	0
5.	0
6.	0
7.	0
8.	0
9.	0
10.	189,273,066
.	2,184,766,190
1.	0
2.	0
3.	0
4.	2,111,375,000
5.	0
6.	0
7.	0
8.	0

2. ( )

9.	0
10.	0
11.	73,391,190
.	34,818,935,069
.	8,672,749,945
. ( )	26,146,185,124
.	0
. ( )	26,146,185,124

3.

## 4.

I.		26 214 813,067
1.		418,597,538
2.		25 796 215,529
II.		25 740 000,000
1.		2,340,000,000
2.	1,552 (31.05%)	23 400 000,000
III.		474,813,067

5.

(Cash f b w s from operations activ ities)	41,675,934,829
1.	
(Net income for the period)	26,146,185,124
2. 가	
(Addition of expenses w ithout cash outflow s)	612,600,279
1) 가	
(Depreciation)	520,490,194
2)	
(C red it loss expenses)	718,333
3)	
(Severance pay )	0
4)	
(C red it loss expenses)	18,288,562
5)	
(Ga in on disposition of investmen t assets)	73,103,190
3.	
(Deduct bn of revenues not involv ing cash infbw s)	- 158,522,500
1)	
(Reversal of allowance for others)	0
2)	
(Ga in on disposition of property and equipment)	0
3)	
(Ga in on disposition of investmen t assets)	158,522,500
4. .	

5. ( )

(Change in assets and liabilities	
resulting from operating activities)	15,075,671,926
1) ( 가 )	
(Decrease(increase) in bng term	
due from financial institutions)	- 27,300,000,000
2) ( 가 )	
(Decrease(increase) nego tiab le	
certificate of deposit)	37,405,700,000
3) ( 가 )	
(Decrease(increase) in receivab les)	446,320,846
4) ( 가 )	
(Decrease(increase) in accrued	
investm ent discret bnary fees)	- 1,365,850,527
5) ( 가 )	
(Decrease(increase) in accrued	
investm ent trust fees)	- 4,942,560,853
6) ( 가 )	
(Decrease(increase) in accrued	
interest incom e)	2,171,822,349
7) ( 가 )	
(Decrease(increase) in other accrued	
incom e)	155,824
8) ( 가 )	
(Decrease(increase) in advance	
payment)	32,400,000
9) ( 가 )	
(Decrease(increase) in prepaid	
expenses)	43,356,203
10) ( 가 )	
(Decrease(increase) in deferred	

5. ( )

	income tax assets)	0
11)	가 ( )	
	(Increase(decrease) in accrued	
	income taxes)	- 1,766,026,224
12)	가 ( )	
	(Increase(decrease) in account	
	payable)	256,095,920
13)	가 ( )	
	(Increase(decrease) in accrued	
	expenses)	10,025,925,224
14)	가 ( )	
	(Increase(decrease) in deferred	
	income tax liabilities)	- 88,003,342
15)	가 ( )	
	(Increase(decrease) in withholding income ta	
	xes)	43,735,512
16)	가 ( )	
	(Increase(decrease) in others)	112,600,994
17)		
	(Payment of severance indemnities)	0
18)	( 가 )	
	(Decrease(increase) in retirement	
	insurance deposit)	0
	.	
	(Cash f bws from investing activities)	- 1,604,775,343
1.		
	(Cash inflow s from investing activities)	793,018,774
1)		
	(Decrease in bans to employees)	301,333,324
2)		
	(Proceeds from disposal of vehicles)	0

5. ( )

3)	
(Proceeds from disposal of office equipments)	0
4)	
(Decrease in guarantee deposits)	1,685,450
5)	
(Decrease in investment assets)	490,000,000
2.	
(Cash outflows from investing activities)	- 2,397,794,117
1) 가	
(Increase in bans to employees)	445,000,000
2)	
(Increase in computer equipments)	448,533,166
3)	
(Increase in office equipments)	31,351,597
4)	
(Increase in leasehold improvements)	19,529,974
5) 가	
(Increase in guarantee deposits)	5,000,000
6)	
(Increase in other assets)	1,448,379,380
III.	
(Cash flows from financing activities)	- 23,400,000,000
1.	
(Cash inflows from financing activities)	0
2.	
(Cash outflows from financing activities)	- 23,400,000,000
1)	
(Payment of dividends)	23,400,000,000
가	
(Increase in cash)	16,671,159,486

5. ( )

.	
(Cash at begining of the period)	3,636,690,673
. ( 17)	
(Cash at end of the period)	20,307,850,159

■

1.

가.


	SH		
	Research & Strategy of BNP Paribas Asset Management, Head		
	CEO		
	BNP PAM		

			가	
4	20091217	1.2010 4. 2. 6. 4. 8. 3. 9.3 11. 14 2	3. 5. 7. 10. 1	가
1	20090612	1.2008 13 3. 5. 13	2 2008 13 4.	가
2	20090612	1. ( )		가
3	20090922	1. 4. 6. 14 1	2. 5. 14 1 3.	가

	BNP PAM		

	2 , 3 4	, , ,	1. 2. 3. , 4. , , 5. , 6.	
	2 2 1'	,	가	

			가	
	20091217	:1. 2.	가	
	20090612	: 14 ( ) , : 가 , 가 , '	가	
	20090922	:1. 2.	가	

2.

가. ( ) ,

1. :
2. : 3 3 2 . 3
3. (1) ( (2) (3) , , , , (4) (5) , (6)
4. (1) (2) (3)
5. ,
6. (1) 1 , (2) (3) 가 가 , 가

( )

,		BNP PAM	
,			
,			

( )

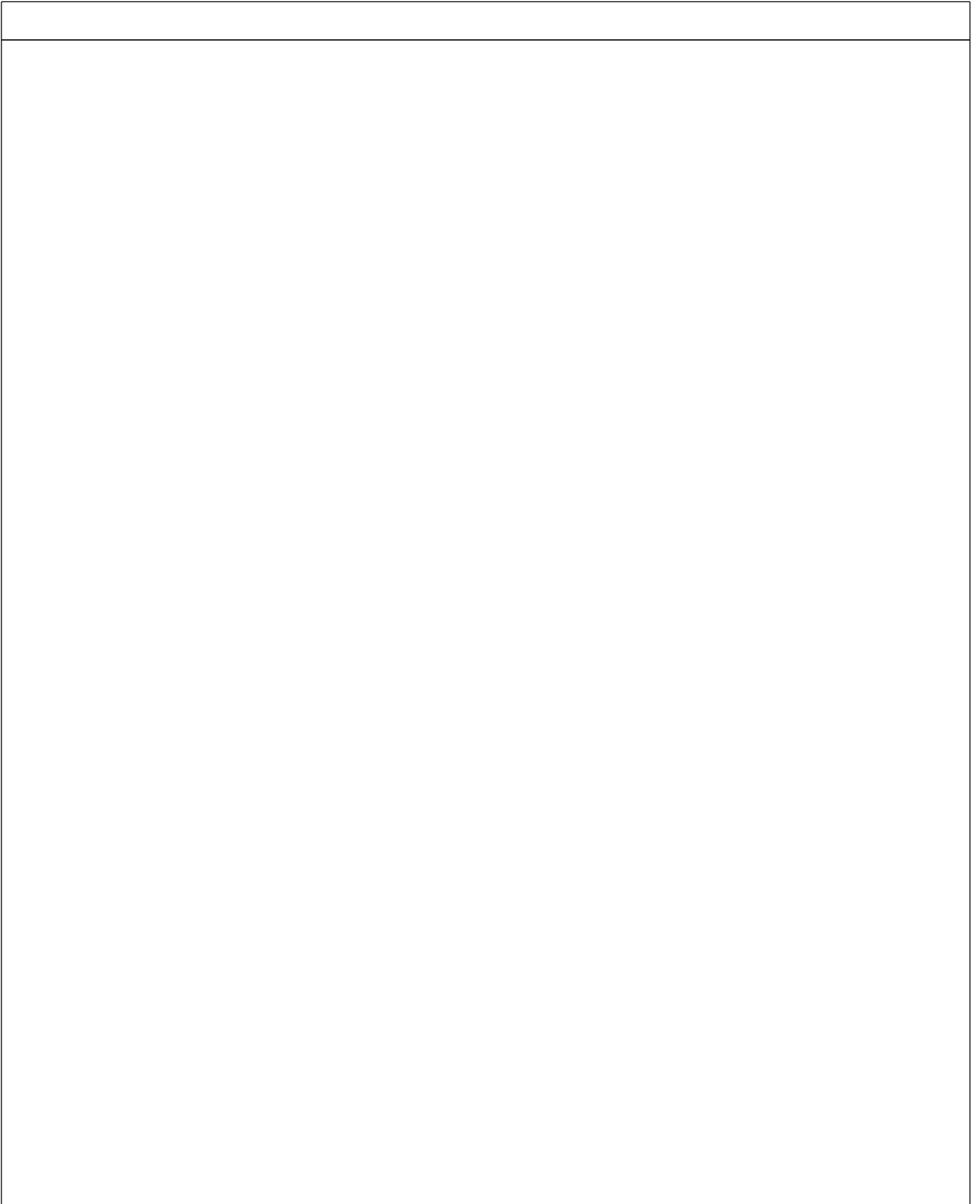
			가	
13 5	20090102	) (	가	
13 6	20090311	2009 ,4 가 ,4	가	
14 1	20090612	: 14 ( ) , : 가 , , 가 , ,	가	
14 2	20090922	:1. 2.	가	
14 3	20091217	:1. 2.	가	

3.

가.

--

.





4.

가.

(1)

(2)

( : , )

				가		가 ( )	가			가										



■

1.

( : ,%)

					가				
			9,798,586	65	0	0	9,798,586	65	
BNP Paribas Investment Partners SA			5,276,161	35	0	0	5,276,161	35	

2.

( : ,%)

■

1.

( : , )

	( )	
	34	187

가.

( : )

		4	0	0	4
		4	0	0	4
		1	0	0	1
		0	0	0	0
		177	0	0	177
		5	0	0	5
		0	0	0	0
		1	0	0	1
		192	0	0	192

( : )

		19511213		SH	20090102	20090102	20110102			
		19580220		Research & Strategy of BNP Paribas Asset Management, Head	20090102	20090102	20120102			
		19610215		Senior Investment Advisor	20040701	20091217	20110102			
		19510303	-	CEO	20090102	20090102	20120102			
		19630227		BNP PAM	20061220	20090102	20120102			
		19560808			20070604	20090102	20100630			
		19570213			20080926	20090102	20100630			
		19590926	-		20070604	20090102	20100630			
		19510410			20090706	20090706	20110706			



■

1.

가.가 ( ) ( : )

( )							
		가					

( : )

	( )					
			가			
	A1	145,000,000	0	105,700,000	39,300,000	
		145,000,000	0	105,700,000	39,300,000	

( : )

( )						
			가			

( : )

( )							

. ( )

(1)

( : )

( )					

(2)

( : )

( )						
		962,115,600	0	962,115,600		
		962,115,600	0	962,115,600	0	

( : )

( )						
					가 가	

( : )

( )						
					가 가	

( : )


2.


■

1.


2.

가.

( : ,%)

		(A)	(B)								(B/A)
	0	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	0	0

( : )

	0	0	0	0	0	
	0	0	0	0	0	
	0	0	348,303,036,211	0	348,303,036,211	
	0	0	0	0	0	
	0	0	348,303,036,211	0	348,303,036,211	

.

가

( : )

				1
				1

■

1.

가.

1.	
(1)	,
(2)	( / )
(3)	( / )
-	
-	( , )
-	/ /
(4)	,
(5)	
(6)	
(7)	
(8)	
-	3
(9)	/
2.	
(1)	: 3 ,
(2)	:
(3)	
1)	, , , ,π
2)	, , 가,
3)	가
4)	, 가
3.	
(1)	
(2)	
(3)	

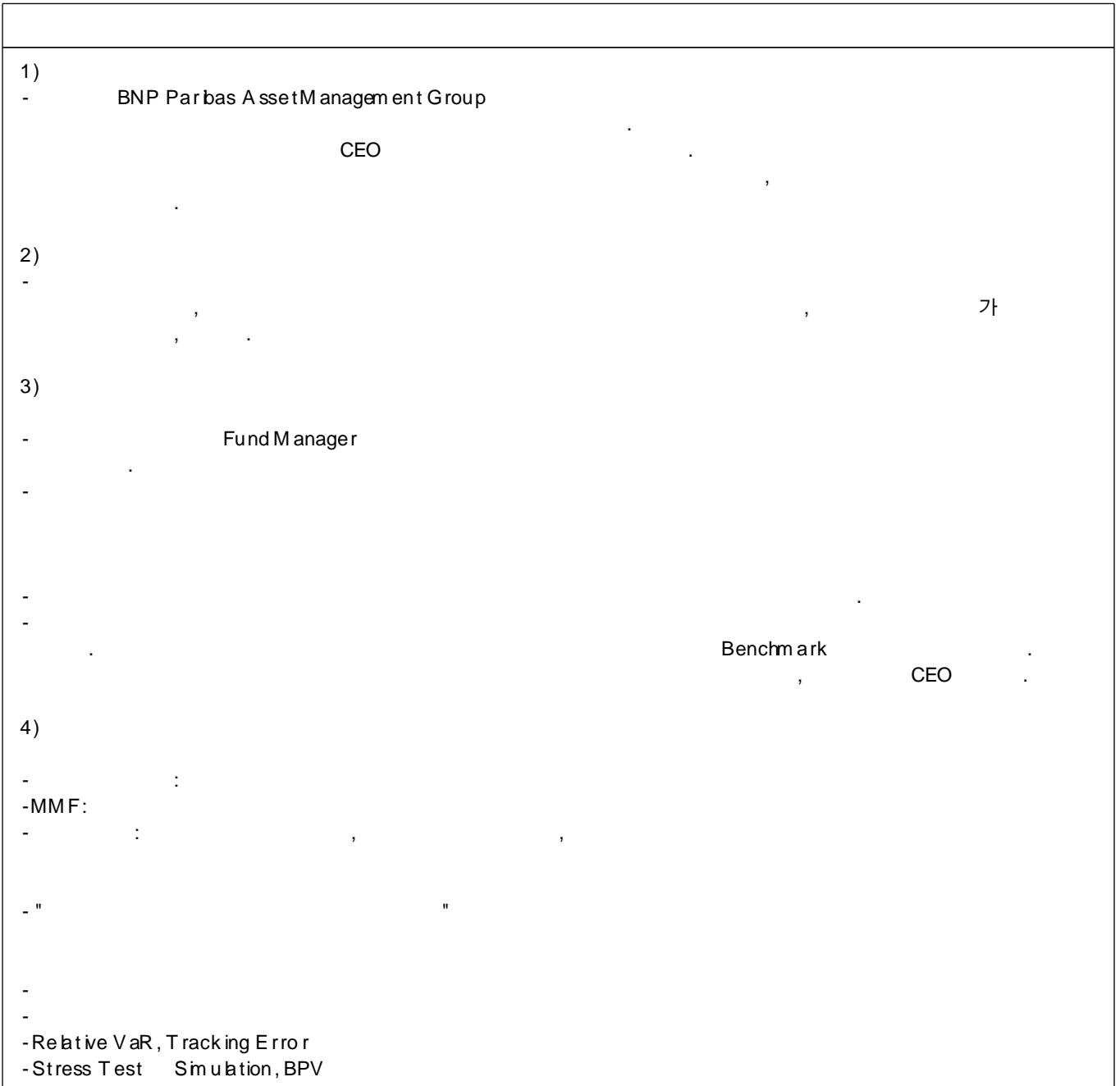
.

1.	
	: 09.12.17
-	
-	/
2.	
1)	
2)	
3)	,
4)	, (Chinese wall)

.


2.

가.





3.


■

1.

( : )

0	0	16	58,025,572,972	16	58,025,572,972

2.

20081222	2008 7 .24 ) ( 12 (	(
20081222		( : )